Edgar Filing: REGENERON PHARMACEUTICALS INC - Form 4

REGENERON PHARMACEUTICALS INC

Form 4 May 09, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

response... 0.5

OMB APPROVAL

if no longer subject to Section 16. Form 4 or Form 5

obligations

1(b).

(Last)

(City)

Check this box

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Terifay Robert J

2. Issuer Name and Ticker or Trading

Symbol

REGENERON

PHARMACEUTICALS INC

[REGN]

(First) (Middle)

(Zin)

3. Date of Earliest Transaction

(Month/Day/Year) 05/07/2013

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director X_ Officer (give title

10% Owner Other (specify

below) **SVP** Commercial

777 OLD SAW MILL RIVER ROAD

(Street)

(State)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

TARRYTOWN, NY 10591

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securition Dispose (Instr. 3, 4)	ed of (5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/07/2013		M	14,750	A	\$ 16.8	30,074	D	
Common Stock	05/07/2013		F	946	D	\$ 261.67	29,128	D	
Common Stock	05/07/2013		F	6,857	D	\$ 261.67	22,271	D	
Common Stock	05/07/2013		M	2,173	A	\$ 16.8	24,444	D	
	05/07/2013		F	139	D		24,305	D	

Edgar Filing: REGENERON PHARMACEUTICALS INC - Form 4

Common Stock					\$ 261.67			
Common Stock	05/07/2013	F	750	D	\$ 261.67	23,555	D	
Common Stock	05/08/2013	S	3,394	D	\$ 260.86 (1)	20,161	D	
Common Stock	05/08/2013	S	4,437	D	\$ 261.44 (2)	15,724	D	
Common Stock	05/08/2013	S	400	D	\$ 262.06 (3)	15,324	D	
Common Stock						1,588	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Ar Underlying Se (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title I
Non-Qualified Stock Option (right to buy)	\$ 16.8	05/07/2013		M	14,750	12/31/2011(4)	12/17/2018	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 16.8	05/07/2013		M	2,173	<u>(6)</u>	12/17/2018	Common Stock

Reporting Owners

Relationships

Reporting Owner Name / Address

Reporting Owners 2

Edgar Filing: REGENERON PHARMACEUTICALS INC - Form 4

Director 10% Owner Officer Other

Terifay Robert J 777 OLD SAW MILL RIVER ROAD TARRYTOWN, NY 10591

SVP Commercial

Signatures

/s/**Robert J.

Terifay 05/09/2013

**Signature of Date

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents volume-weighted average price of sales of 3,394 shares of Company stock on May 8, 2013 at prices ranging from \$260.58 to (1) \$260.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on May 8, 2013 at each separate price.
- Represents volume-weighted average price of sales of 4,437 shares of Company stock on May 8, 2013 at prices ranging from \$261.01 to (2) \$261.98. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on May 8, 2013 at each separate price.
- Represents volume-weighted average price of sales of 400 shares of Company stock on May 8, 2013 at prices ranging from \$262.03 to (3) \$262.13. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on May 8, 2013 at each separate price.
- On December 17, 2008, the reporting person was granted an option to purchase 48,750 shares of Common Stock. The option was eligible (4) to vest, partially or in full, on December 31, 2011, based on the extent to which the company satisfied certain performance criteria. The performance criteria were met and the option vested as to all shares.
- (5) Exercisable date, exercise date, exercise price, purchase price, sales price, and/or expiration date is/are not applicable in this case.
- (6) The stock option award (combined incentive stock option and non-qualified stock option) vests in four equal annual installments, commencing one year after the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3