Edgar Filing: REGENERON PHARMACEUTICALS INC - Form 4

REGENERON PHARMACEUTICALS INC

Form 4 May 15, 2013

FORM 4

Check this box

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Aberman Michael S

2. Issuer Name and Ticker or Trading

Symbol REGENERON

PHARMACEUTICALS INC

[REGN]

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

05/13/2013

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB

Number:

Expires:

response...

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Director 10% Owner Other (specify X_ Officer (give title

below) VP Strategy and Investor Relat

777 OLD SAW MILL RIVER ROAD

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

TARRYTOWN, NY 10591

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secur	ities Acqui	red, Disposed of,	, or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit onor Dispos (Instr. 3,	ed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/13/2013		M(1)	12,500	A	\$ 24	24,200	D	
Common Stock	05/13/2013		F(1)	1,086	D	\$ 275.97	23,114	D	
Common Stock	05/13/2013		F <u>(1)</u>	5,885	D	\$ 275.97	17,229	D	
Common Stock	05/14/2013		S(1)	701	D	\$ 278.72 (2)	16,528	D	

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Common Stock	05/14/2013	S(1)	3,465	D	\$ 279.44 (3)	13,063	D	
Common Stock	05/14/2013	S <u>(1)</u>	1,263	D	\$ 280.4 (4)	11,800	D	
Common Stock	05/14/2013	S <u>(1)</u>	100	D	\$ 281.72	11,700	D	
Common Stock						344	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Non-Qualified Stock Option (right to buy)	\$ 24	05/13/2013		M <u>(1)</u>	12,500	<u>(5)</u>	03/22/2020	Common Stock	12,:

Reporting Owners

Aberman

**Signature of Reporting

Person

Reporting Owner Name / Address		Relationships							
reporting 5 wher 1	Reporting Owner Hame / Address		10% Owner	Officer	Other				
Aberman Michael S 777 OLD SAW MILL R TARRYTOWN, NY 105			VP Strategy and Investor Relat						
Signatures									
/s/**Michael S.	05/15/2012								

05/15/2013

Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Disposition/acquisition made pursuant to a plan intended to comply with Rule 10b5-1(c).
- Represents volume-weighted average price of sales of 701 shares of Company stock on May 14, 2013 at prices ranging from \$278.19 to (2) \$278.94. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full
- (2) \$278.94. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on May 14, 2013 at each separate price.
- Represents volume-weighted average price of sales of 3,465 shares of Company stock on May 14, 2013 at prices ranging from \$279.00 to \$279.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on May 14, 2013 at each separate price.
- Represents volume-weighted average price of sales of 1,263 shares of Company stock on May 14, 2013 at prices ranging from \$280.04 to \$280.79. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on May 14, 2013 at each separate price.
- The stock option award (combined incentive stock option and non-qualified stock option) vests over five years, commencing one year (5) after the date of grant. 12,500 options vest on the first anniversary, 25,000 options vest on the second anniversary, 25,000 options vest on the third anniversary, 25,000 options vest on the fourth anniversary, and 12,500 options vest on the fifth anniversary of the date of grant.
- (6) Exercisable date, exercise date, exercise price, purchase price, sales price, and/or expiration date is/are not applicable in this case.

 Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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