

Edgar Filing: HERZFELD CARIBBEAN BASIN FUND INC - Form NSAR-B/A

HERZFELD CARIBBEAN BASIN FUND INC

Form NSAR-B/A

September 17, 2014

PAGE 1
000 B000000 06/30/2014
000 C000000 0000880406
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 Y
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 THE HERZFELD CARIBBEAN BASIN FUND INC.
001 B000000 811-6445
001 C000000 3052711900
002 A000000 119 WASHINGTON AVE SUITE 504
002 B000000 MIAMI BEACH
002 C000000 FL
002 D010000 33139
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 HERZFELD/CUBA
008 B000001 A
008 C000001 801-20866
008 D010001 MIAMI BEACH
008 D020001 FL
008 D030001 33139
011 A000001 THOMAS J. HERZFELD & CO., INC.
011 B000001 8-25933
011 C010001 MIAMI BEACH
011 C020001 FL
011 C030001 33139
012 A000001 STATE STREET BANK AND TRUST COMPANY
012 B000001 84-XXXX
012 C010001 BOSTON
012 C020001 MA
012 C030001 02116
013 A000001 KPMG, LLP
013 B010001 SAN FRANCISCO
013 B020001 CA
013 B030001 94105
014 A000001 THOMAS J. HERZFELD & CO., INC.
014 B000001 8-25933
015 A000001 STATE STREET BANK AND TRUST COMPANY
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02116
015 E010001 X
018 000000 Y
PAGE 2
019 A000000 N
019 B000000 0
020 A000001 CHARLES SCHWAB
020 B000001 94-1737782
020 C000001 0
020 A000002 BLOOMBERG TRADEBOOK

Edgar Filing: HERZFELD CARIBBEAN BASIN FUND INC - Form NSAR-B/A

020 B000002 13-3880286
 020 C000002 4
 021 000000 4
 023 C000000 0
 023 D000000 0
 024 000000 N
 026 A000000 N
 026 B000000 Y
 026 C000000 N
 026 D000000 Y
 026 E000000 N
 026 F000000 Y
 026 G010000 N
 026 G020000 N
 026 H000000 N
 027 000000 N
 045 000000 Y
 046 000000 N
 047 000000 Y
 048 000000 1.450
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 Y
 054 C000000 N
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 N
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 N
 054 M000000 Y
 054 N000000 N
 054 O000000 N
 055 A000000 N
 055 B000000 N
 056 000000 Y
 057 000000 N
 058 A000000 N
 PAGE 3
 059 000000 Y
 060 A000000 N
 060 B000000 N
 061 000000 0
 062 A000000 N
 066 A000000 Y
 066 B000000 N
 066 C000000 Y
 066 D000000 N
 066 E000000 N
 066 F000000 N
 066 G000000 N
 067 000000 N
 068 A000000 N
 068 B000000 N
 069 000000 N

Edgar Filing: HERZFELD CARIBBEAN BASIN FUND INC - Form NSAR-B/A

070 A010000 Y
 070 A020000 N
 070 B010000 Y
 070 B020000 N
 070 C010000 N
 070 C020000 N
 070 D010000 Y
 070 D020000 N
 070 E010000 Y
 070 E020000 N
 070 F010000 Y
 070 F020000 N
 070 G010000 Y
 070 G020000 N
 070 H010000 Y
 070 H020000 N
 070 I010000 Y
 070 I020000 N
 070 J010000 Y
 070 J020000 Y
 070 K010000 Y
 070 K020000 Y
 070 L010000 Y
 070 L020000 Y
 070 M010000 Y
 070 M020000 N
 070 N010000 Y
 070 N020000 N
 070 O010000 Y
 070 O020000 N
 070 P010000 N
 070 P020000 N
 070 Q010000 N
 070 Q020000 N
 070 R010000 N
 PAGE 4

070 R020000 N
 071 A000000 8157
 071 B000000 12749
 071 C000000 33403
 071 D000000 24
 072 A000000 12
 072 B000000 0
 072 C000000 571
 072 D000000 0
 072 E000000 0
 072 F000000 494
 072 G000000 0
 072 H000000 27
 072 I000000 24
 072 J000000 84
 072 K000000 5
 072 L000000 9
 072 M000000 21
 072 N000000 15
 072 O000000 0
 072 P000000 0
 072 Q000000 0
 072 R000000 47
 072 S000000 48
 072 T000000 0
 072 U000000 0

Edgar Filing: HERZFELD CARIBBEAN BASIN FUND INC - Form NSAR-B/A

| | | |
|-------|---------|--------|
| 072 | V000000 | 5 |
| 072 | W000000 | 60 |
| 072 | X000000 | 839 |
| 072 | Y000000 | 0 |
| 072 | Z000000 | -268 |
| 072AA | 000000 | 4571 |
| 072BB | 000000 | 0 |
| 072CC | 010000 | 0 |
| 072CC | 020000 | 654 |
| 072DD | 010000 | 0 |
| 072DD | 020000 | 0 |
| 072EE | 000000 | 0 |
| 073 | A010000 | 0.0000 |
| 073 | A020000 | 0.0000 |
| 073 | B000000 | 1.1400 |
| 073 | C000000 | 0.0000 |
| 074 | A000000 | 999 |
| 074 | B000000 | 0 |
| 074 | C000000 | 0 |
| 074 | D000000 | 0 |
| 074 | E000000 | 0 |
| 074 | F000000 | 32979 |
| 074 | G000000 | 0 |
| 074 | H000000 | 0 |
| 074 | I000000 | 0 |
| | PAGE | 5 |
| 074 | J000000 | 0 |
| 074 | K000000 | 0 |
| 074 | L000000 | 20 |
| 074 | M000000 | 56 |
| 074 | N000000 | 34054 |
| 074 | O000000 | 0 |
| 074 | P000000 | 120 |
| 074 | Q000000 | 0 |
| 074 | R010000 | 0 |
| 074 | R020000 | 0 |
| 074 | R030000 | 0 |
| 074 | R040000 | 72 |
| 074 | S000000 | 0 |
| 074 | T000000 | 33862 |
| 074 | U010000 | 3713 |
| 074 | U020000 | 0 |
| 074 | V010000 | 9.12 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 3440 |
| 074 | Y000000 | 0 |
| 075 | B000000 | 34168 |
| 076 | 000000 | 8.15 |
| 077 | A000000 | Y |
| 077 | B000000 | Y |
| 077 | C000000 | N |
| 077 | D000000 | N |
| 077 | E000000 | N |
| 077 | F000000 | N |
| 077 | G000000 | N |
| 077 | H000000 | N |
| 077 | I000000 | N |
| 077 | J000000 | N |
| 077 | K000000 | Y |
| 077 | L000000 | N |
| 077 | M000000 | N |

Edgar Filing: HERZFELD CARIBBEAN BASIN FUND INC - Form NSAR-B/A

077 N000000 N
077 O000000 N
077 P000000 N
077 Q010000 N
077 Q020000 Y
077 Q030000 N
078 000000 N
080 A000000 ICI MUTUAL INSURANCE CO
080 C000000 200
081 A000000 N
082 A000000 N
083 A000000 N
084 A000000 N
085 A000000 Y
085 B000000 N

PAGE 6

086 A010000 0
086 A020000 0
086 B010000 0
086 B020000 0
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 COMMON STOCK
087 A020000 42804T105
087 A030000 CUBA
088 A030000 N
088 B030000 N
088 C030000 N
088 D030000 N
SIGNATURE REANNA J. M. LEE
TITLE SECRETARY/TREASURER