Capitol Federal Financial Inc Form 4

November 20, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

Section 16. Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

burden hours per response... 0.5

Estimated average

5. Relationship of Reporting Person(s) to

22,930

226

Ι

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

common

common

stock CFFN

stock

(Print or Type Responses)

1. Name and Address of Reporting Person *

ALESHIRE R JOE		C	Symbol Capitol Federal Financial Inc [CFFN]				Issuer (Check all applicable)			
(Last) 700 S KA	(First) NSAS AVENUE	(]	3. Date of Earliest (Month/Day/Year) 11/20/2012	Transaction			Director 10% Owner _X_ Officer (give title Other (specify below) Executive Vice President			
			4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
ТОРЕКА,	KS 66603						Form filed by M Person	More than One R	Leporting	
(City)	(State)	(Zip)	Table I - Non	-Derivative	Securi	ties Acqu	iired, Disposed o	f, or Beneficia	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Da any (Month/Day/	Oate, if Transaction Code (/Year) (Instr. 8)	4. Securities omr Disposed (Instr. 3, 4	d of (E and 5) (A) or))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
CFFN common stock	11/20/2012		Code V S	Amount 100,000	(D)	Price \$ 11.58	98,256	D		
								_		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

ESOP

Custodian

for child

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S ()
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
CFFN Incentive Stock Option	\$ 11.91					<u>(1)</u>	05/14/2022	CFFN common stock	16,792	
CFFN Non-qualified Stock Option	\$ 11.91					(2)	05/14/2027	CFFN common stock	13,208	

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ALESHIRE R JOE 700 S KANSAS AVENUE TOPEKA, KS 66603

Executive Vice President

Signatures

James D. Wempe, Power of

Attorney 11/20/2012

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 8,396 options have vested and 8,396 options will vest on May 14, 2013.
- (2) 6,604 options have vested and 6,604 options will vest on May 14, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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