INTEVAC INC Form 4 May 12, 2015

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

**OMB APPROVAL** 

Check this box if no longer subject to Section 16

Section 16

SECURITIES

SECURITIES

Expires: January 31, 2005

subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Estimated average burden hours per response... 0.5

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

Person

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading POND NORMAN H Issuer Symbol INTEVAC INC [IVAC] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify 3560 BASSETT STREET 05/11/2015 below) Chairman of the Board (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

#### SANTA CLARA, CA 95054

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Transaction(A) or Disposed of Security (Month/Day/Year) Execution Date, if Securities Ownership Indirect (Instr. 3) Code (D) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 3, 4 and 5) Owned (D) or Ownership (Instr. 8) Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Common 05/11/2015 P 1,000 Α 711,045 I by Trust (1) Stock 5.44 Common 05/11/2015 P 1,600 I 712,645 by Trust (1) Stock Common P Ι 05/11/2015 7,400 by Trust (1) 720,045 Stock Common 14,639 D Stock by Common 38,144 I Partnership Stock (2)

### Edgar Filing: INTEVAC INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 1474** (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amoun	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	erivative			es	(Instr. 5)	Bene
	Derivative				Securities		(Instr. 3 and 4)			Own	
	Security				Acquired	Acquired					Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)					(Instr	
					(Instr. 3,						
					4, and 5)						
								,			
									Amount		
						Date Exercisable	Expiration Date		or		
									Number		
								C	of		
				Code V	(A) (D)			S	Shares		

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

POND NORMAN H 3560 BASSETT STREET

X Chairman of the Board

SANTA CLARA, CA 95054

## **Signatures**

By: Kevin Soulsby For: 05/12/2015 Norman Pond

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Includes 589,960 shares held by the Norman Hugh Pond and Natalie Pond Trust DTD 12/23/90, 42,357 shares held by the Pond 1996
- Charitable Remainder Unitrust DTD 10/15/96 and 87,728 shares hold by various trusts for the benefit of the Reporting Person's immediate family members. The Reporting Person serves as the trustee of these trusts.
- (2) Shares are held in the name of the Pond Family Partnership, L.P., a Partnership. Norman Pond is the General Partner of the Partnership. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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