DICCIANI NANCE K

Form 4 October 02, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction 1(b).

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

HALLIBURTON CO [HAL]

(Print or Type Responses)

(City)

1.Title of

Security

(Instr. 3)

1. Name and Address of Reporting Person * DICCIANI NANCE K

(First) (Last)

(Middle)

439 DRESHERTOWN ROAD

(Street)

3. Date of Earliest Transaction

(Month/Day/Year) 09/30/2018

Symbol

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

Estimated average

burden hours per

OMB APPROVAL

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

X_ Director 10% Owner Officer (give title Other (specify

below)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

FORT WASHINGTON, PA 19034 (State)

> 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

(Zip)

4. Securities TransactionAcquired (A) or Code (Instr. 8)

Disposed of (D) (Instr. 3, 4 and 5)

(A)

or

Securities Owned Following

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

5. Amount of Beneficially Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price Common Stock

20,914.68 (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transactionof Code Derivative (Instr. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Equivalent Units	<u>(2)</u>	09/30/2018		A	60.8 (3)		<u>(4)</u>	<u>(4)</u>	Common Stock	60.8
2018 Restricted Stock Units	<u>(6)</u>						<u>(7)</u>	<u>(7)</u>	Common Stock	4,221
2017 Restricted Stock Units	<u>(6)</u>						<u>(7)</u>	<u>(7)</u>	Common Stock	3,202
2016 Restricted Stock Units	<u>(6)</u>						<u>(7)</u>	<u>(7)</u>	Common Stock	4,326.738 (8)
2015 Restricted Stock Units	<u>(6)</u>						<u>(7)</u>	<u>(7)</u>	Common Stock	4,702.483 (8)
2014 Restricted Stock Units	<u>(6)</u>						<u>(7)</u>	<u>(7)</u>	Common Stock	2,789.852
2013 Restricted Stock Units	<u>(6)</u>						<u>(7)</u>	<u>(7)</u>	Common Stock	3,901.219 (<u>8)</u>
2012 Restricted Stock Units	<u>(6)</u>						<u>(7)</u>	<u>(7)</u>	Common Stock	5,773.405 (8)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

DICCIANI NANCE K
439 DRESHERTOWN ROAD X
FORT WASHINGTON, PA 19034

Signatures

/s/ Bruce A. Metzinger, by Power of Attorney

10/02/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 4.680 shares of stock accumulated through dividend reinvestment as of September 30, 2018.
- (2) The security converts to common stock on a one-for-one basis.
- (3) Stock equivalents acquired under the Halliburton Company Directors' Deferred Compensation Plan reported on a pro rata basis to reflect Issuer's Plan quarter. Said Plan is an ongoing securities acquisition plan.
- (4) The stock equivalent units were accrued under the Company's Directors' Deferred Compensation Plan and are settled in the Company's common stock following cessation as a director.
- (5) The additional stock equivalents are attributable to quarterly dividends based on the closing price on September 26, 2018 of \$41.08.
- (6) Each restricted stock unit represents a right to receive one share of the Company's common stock.
- (7) The restricted stock units vest in four equal annual installments beginning with the first anniversary of the award. Shares will be delivered to the reporting person either upon vesting, or if reporting person elected to defer receipt, following cessation as a director.
- (8) Includes dividend equivalent units through September 30, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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