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CASEYS GENERAL STORES INC

Form 4

January 03, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading HANDLEY TERRY W Issuer Symbol CASEYS GENERAL STORES INC (Check all applicable) [CASY] (Last) (First) (Middle) 3. Date of Earliest Transaction _X__ Director 10% Owner X_ Officer (give title Other (specify (Month/Day/Year) below) ONE CONVENIENCE BLVD., PO 12/15/2016 President & CEO **BOX 3001** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting ANKENY, IA 50021 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 4. Securities 6. Ownership 7. Nature of 3. 5. Amount of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned **Following** (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Price V Amount (D) Code Common V 83 12/15/2016 G D \$0 D 19,215 Stock Voting and tender Common 11,396 (1) Ι rights Stock under 401k plan Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474

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(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Dr.Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		e	Underlying S	7. Title and Amount of Underlying Securities Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option - right to buy (2)	\$ 25.26					06/23/2012	06/23/2019	Common Stock	5,000	
Option - right to buy (3)	\$ 44.39					06/23/2014	06/23/2021	Common Stock	20,000	
Restricted stock units (4)	<u>(5)</u>					<u>(4)</u>	<u>(4)</u>	Common Stock	10,000	
Restricted stock units (6)	<u>(5)</u>					<u>(6)</u>	(6)	Common Stock	3,250	
Restricted stock units (7)	<u>(5)</u>					<u>(7)</u>	<u>(7)</u>	Common Stock	3,250	
Restricted stock units (8)	<u>(5)</u>					(8)	(8)	Common Stock	3,250	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
HANDLEY TERRY W ONE CONVENIENCE BLVD. PO BOX 3001 ANKENY, IA 50021	X		President & CEO				

Reporting Owners 2

Signatures

William J. Noth, under Power of Attorney dated 06/09/2003

01/03/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Allocated to Mr. Handley's 401k plan account as of April 30, 2016. Does not include any shares allocated by the plan trustee after that date.
- (2) Pursuant to terms and conditions of 2000 Stock Option Plan.
- (3) Pursuant to terms and conditions of 2009 Stock Incentive Plan.
- Pursuant to a Restricted Stock Units Agreement dated as of April 12, 2016, executed in conjunction with Mr. Handley's employment
- (4) agreement of the same date. These units vest over a 5-year period, commencing on May 1, 2017 and thereafter on each May 1 of the following 4 years, at the rate of 2,000 units (20% of the total units awarded) per year.
- (5) Each restricted stock unit represents the right to receive, following vesting, one share of Common Stock.
- (6) Pursuant to terms and conditions of 2009 Stock Incentive Plan. This award will vest in full on June 6, 2017.
- (7) Pursuant to terms and conditions of 2009 Stock Incentive Plan. This award will vest in full on June 5, 2018.
- (8) Pursuant to terms and conditions of 2009 Stock Incentive Plan. This award will vest in full on June 2, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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