Clearfield, Inc. Form 3 September 01, 2015

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

**SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(City)

(State)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Clearfield, Inc. [CLFD] Goepel Patrick (Month/Day/Year) 09/01/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O CLEARFIELD, (Check all applicable) INC., 7050 WINNETKA AVE. **N. SUITE 100** 10% Owner \_X\_ Director (Street) Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person **BROOKLYN** Form filed by More than One PARK, MNÂ 55428 Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1.Title of Security	2. Amount of Securities	3.	4. Nature of Indirect Beneficial
(Instr. 4)	Beneficially Owned	Ownership	Ownership
	(Instr. 4)	Form:	(Instr. 5)
		Direct (D) or Indirect	
		(I)	
		(Instr. 5)	
Common Stock	61,880	D	Â
Common Stock	21,370	D (1)	Â
Common Stock	16,750	I	Shares Held by Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: Clearfield, Inc. - Form 3

1. Title of Derivative Security (Instr. 4)

**Expiration Date** (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of Derivative Security

6. Nature of Indirect Ownership Beneficial Ownership (Instr. 5) Form of Derivative

Date Exercisable

**Expiration Title** Date

Amount or Number of Shares

or Indirect (I) (Instr. 5)

Security:

Direct (D)

# **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other Goepel Patrick C/O CLEARFIELD, INC. ÂX Â Â Â 7050 WINNETKA AVE. N. SUITE 100 BROOKLYN PARK, MNÂ 55428

# **Signatures**

Randy Dehmer by Power of Attorney for Patrick Goepel

09/01/2015

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held jointly with spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2