

Edgar Filing: CAREY W P & CO LLC - Form 4

CAREY W P & CO LLC
Form 4
May 10, 2001

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U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

☐ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person*

DuGan, Gordon F.

(Last)

(First)

(Middle)

116 E. 63rd St., Apt. C

(Street)

NY, NY 10021

(City)

(State)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

W.P. Carey & Co. LLC ("WPC")

3. I.R.S. Identification Number of Reporting Person, if an Entity (Voluntary)

212-58-2086

4. Statement for Month/Year

April 2001

5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Persons to Issuer
(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

President

7. Individual or Joint/Group Filing (Check Applicable Line)

☒ Form filed by one Reporting Person
☐ Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of Month (Instr. 3 and 4)
		Code	V	Amount	(A) or (D)	Price	
Common Stock (1)	4/2/01	A		74,766	D	--	434,249
Common Stock (2)	4/23/01	A		2,452	D	--	434,249

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Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8) ----- Code V	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expiration Exercisable Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares
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Explanation of Responses:

- (1) Accounts for stock certificate issuance adjustment
- (2) Represents accounting adjustment to restricted share holdings

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/s/ Gordon F. DuGan

5/9/01

**Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

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