Edgar Filing: FARO TECHNOLOGIES INC - Form 3

FARO TECHNOLOGIES INC

Form 3

March 18, 2016

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

D'Anjou Janet

(Last) (First) (Middle) Statement

(Month/Day/Year)

03/10/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

FARO TECHNOLOGIES INC [FARO]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O FARO TECHNOLOGIES. INC., Â 250 TECHNOLOGY

PARK

(Street)

Director _X__ Officer

10% Owner

Other (give title below) (specify below) VP and Corporate Controller 6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting

Person

Form filed by More than One Reporting Person

LAKE MARY. FLÂ 32746

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership

(Instr. 5) Direct (D)

Â

or Indirect (I) (Instr. 5)

Common Stock 635 (1) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Price of Derivative Derivative

Security:

Edgar Filing: FARO TECHNOLOGIES INC - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	(2)	05/27/2021	Common Stock	2,500	\$ 43.26	D	Â
Employee Stock Option (right to buy)	02/11/2016	02/27/2022	Common Stock	355 (3)	\$ 59.97	D	Â
Employee Stock Option (right to buy)	(4)	03/02/2023	Common Stock	5,105	\$ 33.05	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
D'Anjou Janet C/O FARO TECHNOLOGIES, INC. 250 TECHNOLOGY PARK LAKE MARY, FL 32746	Â	Â	VP and Corporate Controller	Â		

Signatures

Jody S. Gale, attorney-in-fact for Janet D'Anjou (power of attorney 03/18/2016 filed herewith)

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Issued as restricted stock units, which represent the contingent right to receive an equivalent number of shares of common stock. The restricted stock units vest on March 2, 2019.
- (2) The stock option vests in three equal annual installments beginning May 27, 2015.
- On February 27, 2015, the reporting person was granted performance-based stock options to purchase 5,000 shares of common stock, with the number of stock options earned to be determined based on the extent to which certain performance conditions for each of fiscal years 2015, 2016 and 2017 were met. As determined on February 11, 2016, the reporting person earned stock options to purchase 355 shares of common stock based on the Company's fiscal year 2015 performance.
- (4) The stock option vests in three equal annual installments beginning March 2, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2