Sexton Heidi Form 3 June 07, 2018

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Sound Financial Bancorp, Inc. [SFBC] Sexton Heidi (Month/Day/Year) 05/29/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O SOUND FINANCIAL (Check all applicable) BANCORP, INC., Â 2400 3RD **AVENUE. SUITE 150** 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Executive Vice President, COO \_X\_ Form filed by One Reporting Person SEATTLE. WAÂ 98121 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 2,168 D Common Stock 5,431 Ι Held by ESOP Common Stock 2,264 I Held by 401(k) Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: Sexton Heidi - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(1)	01/27/2019	Common Stock	271	\$ 9.72	D	Â
Stock Option (Right to Buy)	(1)	01/27/2019	Common Stock	271	\$ 8.41	D	Â
Stock Option (Right to Buy)	(1)	02/28/2022	Common Stock	4,414	\$ 8.49	D	Â
Stock Option (Right to Buy)	(1)	01/29/2026	Common Stock	1,500	\$ 22.31	D	Â
Stock Option (Right to Buy)	(2)	01/27/2027	Common Stock	3,000	\$ 28.34	D	Â

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Sexton Heidi

C/O SOUND FINANCIAL BANCORP, INC. 2400 3RD AVENUE, SUITE 150 SEATTLE, WAÂ 98121 Â Â Executive Vice President, COO

## **Signatures**

/s/ Kari Stenslie, Attorney-in-Fact 06/07/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercisable currently at any time.
- (2) Vests in three equal annual installments beginning on January 27, 2017.

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#### **Remarks:**

Exhibit 24 -- Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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