Sexton Heidi Form 4 November 21, 2018

Common

Stock

OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Sexton Heidi Issuer Symbol Sound Financial Bancorp, Inc. (Check all applicable) [SFBC] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X_ Officer (give title Other (specify (Month/Day/Year) below) C/O SOUND FINANCIAL 11/19/2018 Executive Vice President/COO BANCORP, INC., 2400 3RD **AVENUE, SUITE 150** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting SEATTLE, WA 98121 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 1.Title of 2. Transaction Date 2A. Deemed 4. Securities 5. Amount of 6. Ownership 7. Nature of TransactionAcquired (A) or Indirect Security (Month/Day/Year) Execution Date, if Securities Form: Direct (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership (Instr. 4) Following (Instr. 4) Reported (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Common 11/19/2018 123 ⁽¹⁾ A \$0 D Α 2,291 Stock Common Held by 5,431 I Stock **ESOP** Held by

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

401(k)

Plan

2,264

I

Edgar Filing: Sexton Heidi - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 8. I De Sec (In

$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 35.27	11/19/2018		A	1,400	<u>(1)</u>	11/19/2028	Common Stock	1,400
Stock Option (Right to Buy)	\$ 9.72					(2)	01/27/2019	Common Stock	271
Stock Option (Right to Buy)	\$ 8.41					<u>(2)</u>	01/27/2019	Common Stock	271
Stock Option (Right to Buy)	\$ 8.49					(2)	02/28/2022	Common Stock	4,414
Stock Option (Right to Buy)	\$ 22.31					(3)	01/29/2026	Common Stock	1,500
Stock Option (Right to Buy)	\$ 28.34					<u>(4)</u>	01/27/2027	Common Stock	3,000

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Sexton Heidi C/O SOUND FINANCIAL BANCORP, INC. 2400 3RD AVENUE, SUITE 150 SEATTLE, WA 98121

Executive Vice President/COO

Signatures

/s/Laura Lee Stewart, Attorney-in-Fact

11/21/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vests in five equal annual installments commencing on November 19, 2019.
- (2) Exercisable currently at any time.
- (3) Vests in five equal annual installments beginning on January 29, 2017.
- (4) Vests in three equal annual installments beginning on January 27, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3