

Edgar Filing: PREFERRED INCOME FUND INC - Form NSAR-A

PREFERRED INCOME FUND INC

Form NSAR-A

July 28, 2003

PAGE 1
000 A000000 05/31/2003
000 C000000 868578
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 PREFERRED INCOME FUND INCORPORATED
001 B000000 811-06179
001 C000000 6267957300
002 A000000 301 E. COLORADO BOULEVARD, SUITE 720
002 B000000 PASADENA
002 C000000 CA
002 D010000 91101
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 FLAHERTY & CRUMRINE INCORPORATED
008 B000001 A
008 C000001 801-19384
008 D010001 PASADENA
008 D020001 CA
008 D030001 91101
010 A000001 PFPC INC.
010 B000001 84-1761
010 C010001 WESTBOROUGH
010 C020001 MA
010 C030001 01581
012 A000001 PFPC INC.
012 B000001 84-1761
012 C010001 WESTBOROUGH
012 C020001 MA
012 C030001 01581
013 A000001 KPMG LLP
013 B010001 BOSTON
013 B020001 MA
PAGE 2
013 B030001 02110
015 A000001 PFPC TRUST COMPANY
015 B000001 C
015 C010001 PHILADELPHIA
015 C020001 PA
015 C030001 19153

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015 E010001 X
 018 000000 Y
 019 A000000 N
 019 B000000 0
 020 A000001 GOLDMAN SACHS & CO.
 020 B000001 13-5108880
 020 C000001 16
 020 A000002 KBC FINANCIAL
 020 B000002 13-4035459
 020 C000002 4
 020 C000003 0
 020 C000004 0
 020 C000005 0
 020 C000006 0
 020 C000007 0
 020 C000008 0
 020 C000009 0
 020 C000010 0
 021 000000 20
 022 A000001 LEHMAN BROTHERS SECURITIES
 022 B000001 13-2518466
 022 C000001 3144
 022 D000001 7139
 022 A000002 BAIRD, ROBERT W. & CO.
 022 B000002 39-6037917
 022 C000002 9600
 022 D000002 0
 022 A000003 SALOMON SMITH BARNEY
 022 B000003 13-5365580
 022 C000003 1504
 022 D000003 4312
 022 A000004 PRUDENTIAL SECURITIES
 022 B000004 22-2347336
 022 C000004 1026
 022 D000004 4342
 022 A000005 BEAR STEARNS
 022 B000005 13-4946705
 022 C000005 0
 022 D000005 5021
 022 A000006 SANDLER O'NEILL & PARTNERS
 022 B000006 13-3481255
 022 C000006 4504
 022 D000006 0
 022 A000007 SPEAR LEEDS & KELLOGG
 022 B000007 13-5515160
 PAGE 3
 022 C000007 3739
 022 D000007 510
 022 A000008 BT ALEX BROWN
 022 B000008 13-3311934
 022 C000008 1512
 022 D000008 907
 022 A000009 PIPER JAFFREY & CO.
 022 B000009 30-0168701
 022 C000009 0
 022 D000009 2355
 022 A000010 MITCHELL SECURITIES
 022 B000010 13-3849153
 022 C000010 1710
 022 D000010 524
 023 C000000 35079
 023 D000000 27275

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| | | | |
|-----|---------|-------------------------------|------|
| 024 | 000000 | Y | |
| 025 | A000001 | LEHMAN BROTHERS HOLDINGS INC. | |
| 025 | B000001 | 13-2518466 | |
| 025 | C000001 | E | |
| 025 | D000001 | 11468 | |
| 025 | A000002 | BEAR STEARNS COMPANIES INC. | |
| 025 | B000002 | 13-4946705 | |
| 025 | C000002 | E | |
| 025 | D000002 | 7189 | |
| 025 | A000003 | DELETE | |
| 025 | D000003 | 0 | |
| 025 | A000004 | DELETE | |
| 025 | D000004 | 0 | |
| 025 | A000005 | DELETE | |
| 025 | D000005 | 0 | |
| 025 | A000006 | DELETE | |
| 025 | D000006 | 0 | |
| 025 | A000007 | DELETE | |
| 025 | D000007 | 0 | |
| 025 | D000008 | 0 | |
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| 026 | C000000 | N | |
| 026 | D000000 | Y | |
| 026 | E000000 | N | |
| 026 | F000000 | N | |
| 026 | G010000 | N | |
| 026 | G020000 | N | |
| 026 | H000000 | N | |
| 027 | 000000 | N | |
| 028 | A010000 | | 0 |
| 028 | A020000 | | 0 |
| 028 | A030000 | | 0 |
| 028 | A040000 | | 0 |
| 028 | B010000 | | 0 |
| | PAGE | 4 | |
| 028 | B020000 | | 0 |
| 028 | B030000 | | 0 |
| 028 | B040000 | | 0 |
| 028 | C010000 | | 0 |
| 028 | C020000 | | 0 |
| 028 | C030000 | | 0 |
| 028 | C040000 | | 0 |
| 028 | D010000 | | 0 |
| 028 | D020000 | | 0 |
| 028 | D030000 | | 0 |
| 028 | D040000 | | 0 |
| 028 | E010000 | | 0 |
| 028 | E020000 | | 0 |
| 028 | E030000 | | 0 |
| 028 | E040000 | | 0 |
| 028 | F010000 | | 0 |
| 028 | F020000 | | 0 |
| 028 | F030000 | | 0 |
| 028 | F040000 | | 0 |
| 028 | G010000 | | 0 |
| 028 | G020000 | | 0 |
| 028 | G030000 | | 0 |
| 028 | G040000 | | 0 |
| 028 | H000000 | | 0 |
| 030 | A000000 | | 0 |
| 030 | B000000 | | 0.00 |

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| | | |
|-----|---------|--------|
| 030 | C000000 | 0.00 |
| 031 | A000000 | 0 |
| 031 | B000000 | 0 |
| 032 | 000000 | 0 |
| 033 | 000000 | 0 |
| 035 | 000000 | 0 |
| 036 | B000000 | 0 |
| 038 | 000000 | 0 |
| 042 | A000000 | 0 |
| 042 | B000000 | 0 |
| 042 | C000000 | 0 |
| 042 | D000000 | 0 |
| 042 | E000000 | 0 |
| 042 | F000000 | 0 |
| 042 | G000000 | 0 |
| 042 | H000000 | 0 |
| 043 | 000000 | 0 |
| 044 | 000000 | 0 |
| 045 | 000000 | Y |
| 046 | 000000 | N |
| 047 | 000000 | Y |
| 048 | 000000 | 0.000 |
| 048 | A010000 | 100000 |
| 048 | A020000 | 0.625 |
| 048 | B010000 | 0 |
| | PAGE | 5 |
| 048 | B020000 | 0.000 |
| 048 | C010000 | 0 |
| 048 | C020000 | 0.000 |
| 048 | D010000 | 0 |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0 |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0 |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0 |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0 |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0 |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 100000 |
| 048 | K020000 | 0.500 |
| 049 | 000000 | N |
| 050 | 000000 | N |
| 051 | 000000 | N |
| 052 | 000000 | N |
| 053 | A000000 | N |
| 054 | A000000 | Y |
| 054 | B000000 | Y |
| 054 | C000000 | N |
| 054 | D000000 | N |
| 054 | E000000 | N |
| 054 | F000000 | N |
| 054 | G000000 | Y |
| 054 | H000000 | Y |
| 054 | I000000 | N |
| 054 | J000000 | Y |
| 054 | K000000 | N |
| 054 | L000000 | N |

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054 M000000 Y
 054 N000000 N
 054 O000000 Y
 055 A000000 N
 055 B000000 N
 056 000000 Y
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 Y
 060 B000000 Y
 061 000000 0
 062 A000000 N
 062 B000000 0.0
 062 C000000 0.0
 PAGE 6
 062 D000000 0.0
 062 E000000 0.0
 062 F000000 0.0
 062 G000000 0.0
 062 H000000 0.0
 062 I000000 0.0
 062 J000000 0.0
 062 K000000 0.0
 062 L000000 0.0
 062 M000000 0.0
 062 N000000 0.0
 062 O000000 0.0
 062 P000000 0.0
 062 Q000000 0.0
 062 R000000 0.0
 063 A000000 0
 063 B000000 0.0
 066 A000000 Y
 066 B000000 N
 066 C000000 N
 066 D000000 N
 066 E000000 N
 066 F000000 Y
 066 G000000 N
 067 000000 N
 068 A000000 N
 068 B000000 N
 069 000000 N
 070 A010000 Y
 070 A020000 N
 070 B010000 Y
 070 B020000 N
 070 C010000 Y
 070 C020000 N
 070 D010000 Y
 070 D020000 N
 070 E010000 Y
 070 E020000 N
 070 F010000 Y
 070 F020000 N
 070 G010000 Y
 070 G020000 Y
 070 H010000 Y
 070 H020000 N
 070 I010000 N
 070 I020000 N

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| | | | |
|-------|---------|---|--------|
| 070 | J010000 | Y | |
| 070 | J020000 | Y | |
| 070 | K010000 | Y | |
| 070 | K020000 | Y | |
| 070 | L010000 | Y | |
| | PAGE | 7 | |
| 070 | L020000 | Y | |
| 070 | M010000 | Y | |
| 070 | M020000 | N | |
| 070 | N010000 | Y | |
| 070 | N020000 | N | |
| 070 | O010000 | Y | |
| 070 | O020000 | N | |
| 070 | P010000 | N | |
| 070 | P020000 | N | |
| 070 | Q010000 | N | |
| 070 | Q020000 | N | |
| 070 | R010000 | Y | |
| 070 | R020000 | N | |
| 071 | A000000 | | 35826 |
| 071 | B000000 | | 33052 |
| 071 | C000000 | | 219276 |
| 071 | D000000 | | 15 |
| 072 | A000000 | | 6 |
| 072 | B000000 | | 1577 |
| 072 | C000000 | | 5952 |
| 072 | D000000 | | 0 |
| 072 | E000000 | | 0 |
| 072 | F000000 | | 626 |
| 072 | G000000 | | 113 |
| 072 | H000000 | | 0 |
| 072 | I000000 | | 41 |
| 072 | J000000 | | 15 |
| 072 | K000000 | | 0 |
| 072 | L000000 | | 17 |
| 072 | M000000 | | 38 |
| 072 | N000000 | | 0 |
| 072 | O000000 | | 0 |
| 072 | P000000 | | 0 |
| 072 | Q000000 | | 0 |
| 072 | R000000 | | 24 |
| 072 | S000000 | | 30 |
| 072 | T000000 | | 0 |
| 072 | U000000 | | 0 |
| 072 | V000000 | | 0 |
| 072 | W000000 | | 191 |
| 072 | X000000 | | 1095 |
| 072 | Y000000 | | 0 |
| 072 | Z000000 | | 6434 |
| 072AA | 000000 | | 0 |
| 072BB | 000000 | | 1219 |
| 072CC | 010000 | | 21450 |
| 072CC | 020000 | | 0 |
| 072DD | 010000 | | 6827 |
| 072DD | 020000 | | 0 |
| 072EE | 000000 | | 0 |
| 073 | A010000 | | 0.6800 |
| | PAGE | 8 | |
| 073 | A020000 | | 0.0000 |
| 073 | B000000 | | 0.0000 |
| 073 | C000000 | | 0.0000 |
| 074 | A000000 | | 0 |

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| | | |
|-----|---------|--------|
| 074 | B000000 | 0 |
| 074 | C000000 | 1602 |
| 074 | D000000 | 2154 |
| 074 | E000000 | 226600 |
| 074 | F000000 | 4595 |
| 074 | G000000 | 0 |
| 074 | H000000 | 770 |
| 074 | I000000 | 0 |
| 074 | J000000 | 0 |
| 074 | K000000 | 0 |
| 074 | L000000 | 2162 |
| 074 | M000000 | 243 |
| 074 | N000000 | 238126 |
| 074 | O000000 | 0 |
| 074 | P000000 | 110 |
| 074 | Q000000 | 0 |
| 074 | R010000 | 0 |
| 074 | R020000 | 0 |
| 074 | R030000 | 0 |
| 074 | R040000 | 827 |
| 074 | S000000 | 80000 |
| 074 | T000000 | 157189 |
| 074 | U010000 | 10112 |
| 074 | U020000 | 0 |
| 074 | V010000 | 15.54 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 447 |
| 074 | Y000000 | 0 |
| 075 | A000000 | 0 |
| 075 | B000000 | 226257 |
| 076 | 000000 | 16.63 |
| 077 | A000000 | Y |
| 077 | B000000 | N |
| 077 | C000000 | Y |
| 077 | D000000 | N |
| 077 | E000000 | N |
| 077 | F000000 | N |
| 077 | G000000 | N |
| 077 | H000000 | N |
| 077 | I000000 | N |
| 077 | J000000 | N |
| 077 | K000000 | N |
| 077 | L000000 | N |
| 077 | M000000 | N |
| 077 | N000000 | N |
| 077 | O000000 | N |
| | PAGE | 9 |
| 077 | P000000 | N |
| 077 | Q010000 | N |
| 077 | Q020000 | N |
| 077 | Q030000 | N |
| 078 | 000000 | N |
| 080 | C000000 | 0 |
| 081 | B000000 | 0 |
| 082 | B000000 | 0 |
| 086 | A010000 | 79670 |
| 086 | A020000 | 1191 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |

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| | | |
|-----------|---------------------|--------------|
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | COMMON STOCK |
| 087 | A020000 | 74037G106 |
| 087 | A030000 | PFD |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | Y |
| SIGNATURE | BRIAN CURRAN | |
| TITLE | ASSISTANT TREASURER | |