

Edgar Filing: BLOOMBERG SAMUEL J - Form 5

BLOOMBERG SAMUEL J  
 Form 5  
 November 15, 2001

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 FORM 5  
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 OMB APPROVAL  
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 OMB Number: 3235-0362  
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// CHECK BOX IF NO  
 LONGER SUBJECT TO  
 SECTION 16. FORM  
 4 OR FORM 5  
 OBLIGATIONS MAY  
 CONTINUE. SEE  
 INSTRUCTION 1(b)  
 // FORM 3 HOLDINGS  
 REPORTED  
 // FORM 4  
 TRANSACTIONS  
 REPORTED

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP  
 Filed pursuant to Section 16(a) of the Securities  
 Exchange Act of 1934,  
 Section 17(a) of the Public Utility  
 Holding Company Act of 1935 or Section 30(f) of  
 the Investment Company Act  
 of 1940

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol		6. Rel
Bloomberg, Samuel J			Tweeter Home Entertainment Group, Inc TWTR		I
(Last)	(First)	(Middle)	3. I.R.S. Identification		---
40 Pequot Way			Number of Reporting		X
	(Street)		Person, if an entity		---
Canton	Ma	02021	(Voluntary)		
(City)	(State)	(Zip)	4. Statement for		
			Month/Year		
			9/2001		
			5. If Amendment,		
			Date of Original		
			(Month/Year)		
			7. Ind		(

TABLE 1 -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL

1. Title of Security (Instr. 3)	2. Trans- action Date  (Month/ Day/ Year)	3. Transac- tion Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount o curities cially O end of I Fiscal Y (Instr.
			Amount	(A) or (D)	Price
Common Stock	5/23/2001	G(1)	10,000	D	915,4

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\*If the form is filed by more than one reporting person, see instruction 4(b)(v).

FORM 5 (CONTINUED)

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL  
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7.
				(A) (D)	Date Exercisable	Expiration Date
Option	12.97	9/27/01	J(2)	42,000	9/27/02	9/27/07

1. Title of Derivative Security

9. Number of Derivative

10. Ownership of Derivative

11. Nature of Indirect

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(Instr. 3)	Securities Beneficially Owned at End of Year (Instr. 4)	Security: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
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	305,556	D	
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Explanation of Responses:

1. Gift of 10,000 shares to BankBoston Charitable Gift Fund-  
Samuel and Carolina Bloomberg Fund
  2. The 42,000 option shares granted 9/27/2001 are subject to an annual vesting  
schedule, to be fully vested by 9/27/2004.
- \*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. /s/ S  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). -----  
\*\*Si

/s/

Re: File three copies of this Form, one of which must be manually signed. If space provided is in  
see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are  
unless the form displays a currently valid OMB number.